10/13/04 WED 15:19 FAX

> BIOTECHNOLOGY **SYSTEMS** BRANCH

## RAW SEQUENCE LIST NG

The Biotechnology Systems Branc half the Scientific and Technical Information Center (STIC) detected errors wh n processing the following computer readable

Application Serial Number: 09/134583

C/O ALLAN

Source:

FENUCCI

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLA INSIDETECTED ERRORS.

PLEASE FORWARD THIS INFORMA IDN TO THE APPLICANT BY EITHER;

1) INCLUDING A COPY OF THIS FIGHTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE T | (X)MI'LY or,

TELEPHONING APPLICANT AND DEALER OF THIS PRINTOUT, WITH A 3300 1

NOTICE TO COMPLY FOR CRF SUBMISSION QUESTIONS FLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRINATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin211 110020 pto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3ht p@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUE (CF. LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACC. SS.BI E THROUGH THE U.S. PATENT AND

TRADEMARK OFFICE WEBSITI SEE BELOW:

#### Check or Wersion 3.0

The Checker Version 3.0 application is a stre-of the art Windows based software program employing a logical and intuitive user-inter ace to check whether a sequence listing is in compliance with format and content rules. ( hecker Version 3.0 works for sequence listings generated for the original version of 37 CF: {\$1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) e lexive uly 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard St. 25. Checker Version 3.0 replaces the previous ICS based version of Checker, and is Y2Kcompliant. Checker allows public users to cleak sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence istir g is expected to result in fewer errored sequence listings, thus saving time and money.

Onecker Version 3.0 can be down loaded | rottthe USPTO website at the following address: http://www.uspto.g py/web/offices/pac/checker

#### Raw Se quence Listing Error Summary

|                                     | · nalonul on  |
|-------------------------------------|---|
| ERROR DETECTED                      | SUGGESTI 12 CHE CTION SERIAL NUMBER: 09/734,583   |
| ATTN: NEW RULES CASE                | i: Please I))     re( ar ) english "alpha" headers, which were inserted by  pto softwar   |
| 1Wrapped Nucleics<br>Wrapped Aminos | The number out at the ind of each line "wrapped" down to the next line. This may occur if your file was retrieve in a word processor after creating it. Please adjust your right margin to .3; this will prevent "an :p ng"   |
| 2Invalid Line Length                | The rules re line that a line not exceed 72 characters in length. This includes white spaces.   |
| 3Missligned Amino<br>Numbering      | The number 1g am for each 5th amino acid is misaligned. Do not use tab codes between numbers; use space of 1 mict ire, instead.   |
| 4Non-ASCII                          | The submitt of file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your to be que it submission is saved in ASCII text.   |
| SVariable Length.                   | Sequence(s) \( \bigg\) _ o misi a n's or Xaa's representing more than one residue. Per Sequence Rules, each n of X is the only represent a single residue. Please present the maximum number of each residue havi \( \bigg\) /aruble length and indicate in the <220><223> section that some may be missing.  |
| 6Patentin 2.0<br>"bug"              | A "bug" in I :: eth: version 2.0 has caused the <220> <223> section to be missing from amino acid sequences(s   |
| 7Skipped Sequences<br>(OLD RULES)   | Sequence(s)missing. If intentional, please insert the following lines for each skipped sequence:  (2) DIFOIU A TION FOR SEQ ID NO.X: (insert SEQ ID NO where "X" is shown)  (i) EQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUI ME DES: RIPTION: SEQ ID NO: X: (insert SEQ ID NO where "X" is shown)  This sequent is a lutent onally skipped |
|                                     | Please also iljust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.   |
| 8Skipped Sequences' (NEW RULES)     | Sequence(s missing. If intentional, please insort the following lines for each skipped sequence.  <210> perputate it musther  <400> norm that it not ther  000  |
| 9Use of n's or Xsa's<br>(NEW RULES) | Use of n'iii idor Kaa's have been detected in the Sequence Listing.  Per 1.223 o Siquince Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> (c 1.723) section, please explain location of n or Xaa; and which residue n or Xaa represents.  |
| 10Invalid <213>                     | Per 1.823 o Siquence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific in in ((unus hypecies). <220>-<223> section is required when <213> response is Unknown or is Artificial is justice.   |
| 11_Use of <220>.                    | Sequence [s missing the <220> "Feature" and associated numerite identifiers and responses.  Use of <2:N   1> <123: is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown: Heisse is plain source of genetic material in <220> to <223> section.  (See "Fedic il Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (See 1/823 of Sequence Rules)         |
| 12Patentin 2.0 "bug"                | Please do r : 1 se "Copy to Disk" function of Patentia version 2.0. This causes a corrupted file, resulting in 1 ai 150 tg m indutory numeric identifiers and responses (as indicated on raw sequence listing). In 12 d, shear a use "File Manager" or any other manual means to copy file to floppy disk.  |
| 13Misuse of n                       | "it can only in use 1 to represent a single nucleotide in a nucleic sold sequence. N is not used to represent any value in it is a fall of the nucleotide.  |
|                                     |   |

ANIC/MH - Biotechnology Systems Branch - 08/21/2001

Page 1 of 8

```
OIPE
                                                                                                                                    DATE: 09/06/2001
                                            RAW SEQUENCE IN SUITING
                                                                                                                                    TIME: 15:09:42
                                             PATENT APPLICATION: US/09/734,583
                                                                                                                                                           Does Not Comply
                                             Input Set : A:\B"534-3000.txt
                                                                                                                                                   Corrected Diskette Needeg
                                             3 <110> APPLICANT: Hornik, Ve: ed
            5 <120> TITLE OF INVENTION: CONFORMATIONALLY CONSTRAINED BACKBONE CYCLIZED SOMATOSTATIN
ANALOGS
            7 <130> FILE REFERENCE: 87534-3000
            9 <140> CURRENT APPLICATION N MSER: 09/734,583
          10 <141> CURRENT FILING DATE: . DOC-10-13
          12 <160> NUMBER OF SEQ ID NOS: 1)
           14 <170> SOFTWARE: PatentIn ve sicn 3.1
           16 <210> SEQ ID NO: 1
           17 <211> LENGTH: 14
           18 <212> TYPE: PRT
           19 <213> ORGANISM: mammalian
           21 <400> SEQUENCE: 1
           Ala Gly Cys Lys Asn Phe Pha Try Lys Thr Phe Thr Ser Cys
                 210> SEQ ID NO: 2 mal
                                                                                                           see iteme 10 and 11 on Evin furnary
           28 <211> LENGTH: 6
           29 <212> TYPE: PRT
     4> 30 <213> ORGANISM: Artificial :epi:ida
           32 <220> FEATURE:
W--> 33 <221> NAME/KEY: DISULFIDE E ID(E
           34 <222> LOCATION: (1)..(1)
                 COTHER INFORMATION: ( residues at amino acid positions and 6 form a disulfide control of the 
birdg
           39 <220> FEATURE:
           40 <221> NAME/KEY: MOD_RES
           41 <222> LOCATION: (3)..(3)
           42 <223> OTHER INFORMATION: Transpression is the D isomer
           45 <400> SEQUENCE: 2
           47 Cys Phe Trp Lys Thr Cys
           48 1
           51(<210> SEQ ID NO:
            52 ₹211> LENGTH: 6
            53 <212> TYPE: PRT
 C--> 54 <213> ORGANISM( Artificial poptice
           56 <220> FEATURE:
           57 <221> NAME/KEY: MOD_RES
           58 <222> LOCATION: (1)..(1)
           59 <223> OTHER INFORMATION: No Mothy.
            62 <220> FEATURE:
            63 <221> NAME/KEY: MOD_RES
            64 <222> LOCATION: (1)..(6)
            65 <223> OTHER INFORMATION: COLC
            68 <220> FEATURE:
            69 <221> NAME/KEY: MOD_RES
            70 <222> LOCATION: (3)..(3)
            71 <223> OTHER INFORMATION: T a Trp residue is the D isomer
```

74 <400> SEQUENCE: 3

Page 2 of 8

RAW SEQUENCE LI TING

PATENT APPLICATION

Page 3 of 8

DATE: 09/06/2001

TIME: 15:09:42

```
Input Set : A:\:7534-3000.txt
                     Output Set: N:\ FF3\09062001\1734583.raw
    76 Ala Tyr Trp Lys Val Phe.
    77 1
     80 <210> SEQ ID NO: 4
     81 <211> LENGTH: 8
     82 <212> TYPE: PRT
C--> 83 <213> ORGANISM: (Artificial laptide
     85 <220> FEATURE:
     86 <221> NAME/KEY: MOD_RES
     87 <222> LOCATION: (1)...(1)
     88 <223> OTHER INFORMATION: The Phe Residue is a D isomer
     91 <220> FEATURE:
     92 <221> NAME/KEY: MOD_RES
     93 <222> LOCATION: (8)..(8)
     94 <223> OTHER INFORMATION: The Fir residue ends with CH2OM
     97 <220> FEATURE:
                                                    bridge
W--> 98 <221> NAME/KEY: DISULFIDE B IDGE
     100 <223> OTHER INFORMATION: A disulfide (bride) is formed between Cys residues 2 and 7
     103 <220> FEATURE:
     104 <221> NAME/KEY: MOD_RES

105 <222> LOCATION: (2)...(2)

106 <223> OTHER INFORMATION: I set IP residue is a D isomer
     109 <400> SEQUENCE: 4
     111 Phe Cys Phe Trp Lys Thr Cy: Thr
     112 1'
      115 <210> SEQ ID NO: 5
      116 <211> LENGTH: 7
                                                  ) Arthricral Sequence.
      117 <212> TYPE: PRT
C--> 118 <213> ORGANISM: (Artificial l'eptide
      120 <220> FEATURE:
W--> 121 <221> NAME/KEY: DISULFIDE
      122 <222> LOCATION: (2)..(2)
                                      Diquifide Bridge is formed between the Cys residues at
      123 <223> OTHER INFORMATION: 1 DIT
 position
                2 and 6
      124
      127 <220> FEATURE:
      128 <221> NAME/KEY: MOD_RES
      129 <222> LOCATION: (1)..(1)
      130 <223> OTHER INFORMATION: as Ph. residue is a D isomer
      133 <220> FEATURE:
      134 <221> NAME/KEY: MOD_RES
      135 <222> LOCATION: (4) ...(4)
      136 <223> OTHER INFORMATION: 'he Tro residue is a D isomer
      139 <220> FEATURE:
                                             residue
      140 <221> NAME/KEY: MOD_RES
      141 <222> LOCATION: (7)..(7)
      142 <223> OTHER INFORMATION: the Thr (residue) ends with N2H
      145 <400> SEQUENCE: 5
      147 Phe Cys Phe Trp Lys Cys T :x
                       . 5
      148 1
```

US/09/734,583

file://C:\Crf3\Outhold\VsrI734583.htm

Page 4 of 8

```
DATE: 09/06/2001
                     RAW SEQUENCE LIN TING
                                                             TIME: 15:09:42
                     PATENT APPLICAT ON: 1/8/09/734,583
                     Input Set : A:\ 7534-3000.txt
                     Output Set: N:\ RF2\0)062001\I734583.raw
    151 <210> SEQ ID NO: 6
     152 <211> LENGTH: 8
     153 <212> TYPE: PRT
C--> 154 <213> ORGANISM: Artificial eptids
     156 <220> FEATURE:
     157 <221> NAME/KEY: MISC_FEATUR:
     158 <222> LOCATION: (1)..(1)
     159 <223> OTHER INFORMATION: is a samma amino butyric acid, diamino butyric acid,
                  5-amino pentanoic scili cr amino hexanoic acid; Residue 1 is (bi)
beta-Al
              raged to Residue 8; Fasidue 1 also begins with a hydrogen, or a h
     160
     161
               ono- or di- saccharic a attached
     162
     165 <220> FEATURE:
     166 <221> NAME/KEY: MISC_FEATURE
     167 <222> LOCATION: (2)..(2)
     168 <223> OTHER INFORMATION: i.: (D) or (L) Phe or Tyr
     171 <220> FEATURE:
     172 <221> NAME/KEY: MISC_FEATULE
     174 <223> OTHER INFORMATION: i. (C) or (L)-Trp, or (L)-Phe, (D)- or (L)-INal or (D) or
 (L)-2Na
                1, or Tyr
     175
     178 <220> FEATURE:
      179 <221> NAME/KEY: MISC_FEATU E
      180 <222> LOCATION: (4)..(4)
      181 <223> OTHER INFORMATION: i (I) or (L)-Trp
      184 <220> FEATURE:
      185 <221> NAME/KEY: MISC_FEATU :E
      186 <222> LOCATION: (5)..(5)
      187 <223> OTHER INFORMATION: i:\{D\} or \{L\}-Lys
      190 <220> FEATURE:
      191 <221> NAME/KEY: MISC_FEATU : F
      192 <222> LOCATION: (6)..(6)
      193 <223> OTHER INFORMATION: is Tir, Gly, Abu, Ser, Cys, Val, (D) or (L)-Ala, or (D) - or
 (L)-A
      194
                la, or Tyr
      197 <220> FEATURE:
      198 <221> NAME/KEY: MISC_FEATURE
      199 <222> LOCATION: (7) . (7)
      200 <223> OTHER INFORMATION: : 3 (D) or (L)-Phe, or (D)- or (L)-Ala, Nle, or Cys;
      203 <220> FEATURE:
      204 <221> NAME/KEY: MISC_FEACH RE
      205 <222> LOCATION: (8)..(8)
      206 <223> OTHER INFORMATION: s Cly Val, Leu, (D) or (L)-Phe, or 1Nal or 2Nal; with a
 termina
                1 carboxy acid, ami a cr alcohol group.
      207
                               ſ '
      210 <400> SEQUENCE; 6
 W--> 212 Xaa Xaa Xaa Xaa Xaa Xaa X a ) aa
      213 1
      216 <210> SEQ ID NO: 7
      217 <211> LENGTH: 7
      218 <212> TYPE: PRT
 C--> 219 <213> ORGANISM Artificia Poptide
                                                                                             9/6/01
```

RAW SEQUENCE IN HILLING

Page 5 of 8

. DATE: 09/06/2001

```
PATENT APPLICATION: US/09/734,583
                                                             TIME: 15:09:42
                     Input Set : A:\B"534-3000.txt
                     221 <220> FEATURE:
    222 <221> NAME/KEY: MISC_FEATULE
     223 <222> LOCATION: (1)..(1)
    224 <223> OTHER INFORMATION: i. (D) - or (L)-Phe, or (D) - or (L)-Ala; wherein Residue 1 is
brid
              ged to Residue 6 a b: :dging group composed of 1 to 5 methyl space
    225
               rs connected to an artide, thioether, thioester, or disulfide, fol
     226
               lowed by 1 to 5 meth; 1 spacers
     227
     230 <220> FEATURE:
     231 <221> NAME/KEY: MISC_FEATURE __
     232 <222> LOCATION: (2)..(2)
     233 <223> OTHER INFORMATION: i. Tyr or (D)- or (L)-Phe
     236 <220> FEATURE:
     237 <221> NAME/KEY: MISC_FEATU E
     238 <222> LOCATION: (3)..(3)
     239 <223> OTHER INFORMATION: i (L) - or (L) -Trp, (D) - or (L) -1Nal, or (D) - or (L) -2Nal
     242 <220> FEATURE:
     243 <221> NAME/KEY: MISC_FEATU E
     244 <222> LOCATION: (5)..(5)
     245 <223> OTHER INFORMATION: i Fir, Val, Ser, or Cys
     248 <220> FEATURE:
     249 <221> NAME/KEY: MISC_FEATU E
     250 <222> LOCATION: (6)..(6)
     251 <223> OTHER INFORMATION: i 31y or (D) - or (L)-Phe
     254 <220> FEATURE:
     255 <221> NAME/KEY: MISC FEATU E
     256 <222> LOCATION: (7)..(7)
     257 <223> OTHER INFORMATION: i: Thr, GABA, (D) - or (L)-1Nal, (D) - or (L)-2Nal, or (D) - or
{L
     258 .
               )-Phe
     261 <4,00> SEQUENCE: 7
W--> 263 Xaa Xaa Xaa Lys Xaa Xaa Xa
     264 1
                                                                   see den Son Enov
fumman
Shee
varible begil invalid
     267 <210> SEQ ID NO: 8
     268 <211> LENGTH: 9
     269 <212> TYPE: PRT/
C--> 270 <213> ORGANISM( Artificial Feptide
     272 <220> FEATURE:
     273 <221> NAME/KEY: MISC_FEATUE
     274 <222> LOCATION: (1)..(1)
     275 <223> OTHER INFORMATION: i: absent or is a terminal group of one to four amino adds
     278 <220> FEATURE:
     279 <221> NAME/KEY: MISC_FEATU H.
     280 <222> LOCATION: (2)..(2)
     281 <223> OTHER INFORMATION: is 1 Nal, 2Nal, Beta-Asp (Ind), Gly, Tyr, (D)- or (L)-Ala, or
(D
               ) - or (L) -Phe
     282
     285 <220> FEATURE:
     286 <221> NAME/KEY: MISC_FEATURE
     287 <222> LOCATION: (3)..(4)
     288 <223> OTHER INFORMATION: Tay be absent, or are independently Gly, Tyr, 1Nal, 2Nal,
Beta-As
               p (Ind), Gly, Tyr, [3] - or (L)-Ala, or (D) - or (L)-Phe
     289
```

RAW SEQUENCE LINERING

Page 6 of 8

DATE: 09/06/2001

```
TIME: 15:09:42
                    PATENT APPLICATION: US/09/734,583
                    Input Set : A:\\ 7534-0000.txt
                    Output Set: N:\(|X:F3\0!)062001\I734583.raw
    292 <220> FEATURE: .
    293 <221> NAME/KEY: MISC_FEATUR
    294 <222> LOCATION: (5)..(5)
    295 <223> OTHER INFORMATION: (D - cr (L)-Trp
    298 <220> FEATURE:
    299 <221> NAME/KEY: MISC_FEATUR:
    300 <222> LOCATION: (6)..(6)
    301 <223> OTHER INFORMATION: (D - or (L)-Lys
    304 <220> FEATURE:
    305 <221> NAME/KEY: MISC_FEATUR :
    306 <222> LOCATION: (7)..(7)
    307 <223> OTHER INFORMATION: is absent or is Gly, Abu, Cys, Thr, Val, (D)- or (L)-Ala, or
(D)
              - or (L)-Phe
     308
     311 <220> FEATURE:
     312 <221> NAME/KEY: MISC_FEATUR :
     313 <222> LOCATION: (8)..(8)
     314 <223> OTHER INFORMATION: i.: (:ys, (D) - or (L) -Ala, or (D) - or (L) -Phe
     317 <220> FEATURE:
     318 <221> NAME/KEY: MISC_FEATULE
     319 <222> LOCATION: (9)..(9)
     320 <223> OTHER INFORMATION: in absent or is Val, Thr, 1Nal or 2Nal
     323 /400> SEQUENCE: 8
r .
                       5.
     326 1
     329 ₹210> SEQ ID NO: 9
     330 <211> LENGTH: 7
     331 <212> TYPE: PRT
C--> 332 <213> ORGANISM: (Artificial Partile
     334 <220> FEATURE:
     335 <221> NAME/KEY: MISC FEATU E
     336 <222> LOCATION: (1)..(1)
     337 <223> OTHER INFORMATION: (:)- or (L)-Phe, Tyr or (D)- or (L)-Ala; Residue 1 is
               to Residue 7 by a br cg: comprised of 1 to 5 methylene spacers (co) Cohnected
connected
              fincected to an amid: thicether, thioester, or disulfide, followe
     338
     339
               d by 1 to 5 methyler a spacers
     343 <220> FEATURE:
     344 <221> NAME/KEY: MISC_FEATURE
     345 <222> LOCATION: \{2\}...\{2\}
                                    0) - or (L)-Phe, Tyr or (D)- or (L)-Ala;
     346 <223> OTHER INFORMATION:
     349 <220> FEATURE:
     350 <221> NAME/KEY: MISC_FEATURE
     351 <222> LOCATION: (3)..(3)
     352 <223> OTHER INFORMATION: :: absent or is (D) - or (L) -Phe, Tyr or (D) - or (L) -Ala;
      355 <220> FEATURE:
      356 <221> NAME/KEY: MISC_FEATURE
      357 <222> LOCATION: (4)..(4)
      358 <223> OTHER INFORMATION: s (D) or (L) Tyr
      361 <220> FEATURE:
      362 <221> NAME/KEY: MISC_FEAT RE
                                       Use of a and/or Xaa has been detected in the Sequence Listing.
                                       Feniew the Sequence Listing to insure a corresponding
                                      explanation is presented in the <220> to <223> fields of
                                      each sequence using n or X28.
                                                                                             9/6/01
 file://C:\Crf3\Outhold\VsrI734583.htm
```

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VERIFICATION SU: MANY
PATENT APPLICATION: US/09/734,583

DATE: 09/06/2001 TIME: 15:09:43

Input Set: A:\:7534-3000.txt
Output Set: N:\:E:3\09062001\I734583.raw

L:30 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:2
L:33 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:3
L:54 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:3
L:88 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:4
L:98 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:5
L:118 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:5
L:121 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:5
L:154 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:6
L:212 M:341 W: (46) "n" or "Xaa" used for SEQ ID#:6
L:219 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:7
L:263 M:341 W: (46) "n" or "Xaa" used for SEQ ID#:7
L:270 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:8
L:332 M:341 W: (46) "n" or "Xaa" used for SEQ ID#:8
L:332 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:9
L:381 M:341 W: (46) "n" or "Xaa" used for SEQ ID#:9
L:388 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:9
L:388 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:9
L:388 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:9
L:388 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:10
L:437 M:341 W: (46) "n" or "Xaa" used for SEQ ID#:9

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
C TOTAL TISSIONER OF PATENTS AND TRADEMARKS
OF Egyph, D.C. 10231

| SERIAL NUMBER | FILING DATE |      | FIRST | NAMED | APPLICANT    | ATTORNEY DOCKET NO. |
|---------------|-------------|------|-------|-------|--------------|---------------------|
|               |             | <br> |       |       |              |                     |
|               |             |      |       | 1 r   |              |                     |
|               |             |      |       |       |              | EXAMINER            |
|               |             |      |       | Ī     |              |                     |
|               |             |      |       | ľ     | ART UNIT     | PAPER NUMBER        |
|               |             |      | •     | ľ     |              |                     |
|               |             |      |       | , ,   | DATE MAILED: |                     |

Please find below a communication fro Table E KAMINER in charge of this application

Commissioner of Patents

1. This application contains so the ence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). A computer readable form (CRF) of the sequence elisting was submitted. However, the GRF could not be processed by the Scientific and Texterical Information Center (STIC) for the reason(s) set forth on the attached CRF Diskette Problem. Lepon: Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.8 5) before the application can be examined under 35 U.S.C.

§§ 131 and 132.

Applicant is given ONE M DINTH from the mailing date of this communication within which to comply with the sequence rules, 17 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANI CNMFINT of the application under 37 CFR 1.821(g). Extensions of time may be obtaine they filling a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). Direct the reply to the undersigned. Applicant is requested to return a copy of the attached CRF. Disk ett.: Problem Report with the reply.

nish Gipta

|  | Application | No.: 02 | 134.583 |
|--|-------------|---------|---------|
|--|-------------|---------|---------|

# NOTICE TO COMPLY WITH REQUIRENENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/HIS AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated be ow within the time period set the Office action to which the Notice is attached to avoid about numer under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 3 TOFR 1.136(a)).

The nucleotide and/or amino acid equer ce disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

|                      | 1.   | attention is directed to the   | to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's rial rule making notice published at 55 FR 18230 (May 1, 1990), and 1114 his differitive filling date is on or after July 1, 1998, see the final rulemaking \$600 (June 1, 1998) and 1211 OG 82 (June 23, 1998).  |
|----------------------|--|--|---|
|                      | 2.   | This application does not e<br>Listing" as required by 37  | initain, as a separate part of the disclosure on paper copy, a "Sequence LF.R. 1 821(c).  |
|                      | 3.   | A copy of the "Sequence I 37 C.F.R. 1.821(e).  | sling" in computer readable form has not been submitted as required by  |
|                      |  | content of the computer it and/or 1.823, as indicated  | stin 3" in computer readable form has been submitted. However, the ideale form does not comply with the requirements of 37 C.F.R. 1.822 in the attached copy of the marked -up "Raw Sequence Listing."  |
| X                    |  | aliator allicadante as mon   | In that I as been filed with this application has been found to be damaged lifed on the attached CRF Diskette Problem Report. A Substitute still be submitted as required by 37 C.F.R. 1.825(d).  |
|                      | 6.   | The paper copy of the "Se "Sequence Listing" as req  | juer ce Listing" is not the same as the computer readable from of the   |
| _                    |  | Seductive risking as ted   | 1130 by 57 G.F.N. 1.02 1(0).  |
|                      | 7.   | Other:   |   |
| Apr                  |  | Other:   |   |
| Ap                   | pli  | Other:   |   |
| X                    | P <b>ii</b><br>Ai<br>Ar<br>ini                 | Other:   | e readable form (CRF) copy of the "Sequence Listing".  Out of the "Sequence Listing", as well as an amendment directing its entry   |
| X                    | Pii<br>Ai<br>Ai<br>Ai<br>A                     | Other:  icant Must Provide: In initial or substitute compute initial or substitute paper to the specification.   | en readable form (CRF) copy of the "Sequence Listing".  |
| X<br>X<br>X          | Pii<br>Ai<br>Ai<br>Ai<br>ini<br>A<br>ar<br>1.0 | Other:  Cant Must Provide: In initial or substitute compute in initial or substitute paper to the specification.  Statement that the content opticable, include no new new new 1825(b) or 1.825(d).  | en readable form (CRF) copy of the "Sequence Listing".  copy of the "Sequence Listing", as well as an amendment directing its entry  of the paper and computer readable copies are the same and, where  |
| X<br>X<br>For<br>For | Ar Ar In A ar I Core                           | Other:  cant Must Provide:  in initial or substitute compute in initial or substitute paper to the specification.  statement that the content opticable, include no new new 1825(b) or 1.825(d).  uestions regarding contules interpretation, care RF Submission Help, attn Software Program | is readable form (CRF) copy of the "Sequence Listing".  copy of the "Sequence Listing", as well as an amendment directing its entry  of the paper and computer readable copies are the same and, where  ittel, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or  ipliance to these requirements, please contact:  [703] 308-4216  init (703) 308-4212 |

## COUNT SHEET FOR SEQUENCE CASES

| Serial No. <u>09/734,583</u> | AE  |
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| Mark only one space below    | Date of Count   |
| X                            | (CF(FN) (CRF is unreadable; use CRF Diskette Problem Report)  |
|                              | (Cf (F'E)) (CRF does not comply; use Notice to Comply)  |
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|                              | (no n tiona fide) (second or subsequent letter to applicant reporting non-bona fide attempt to comply; use Notice to Comply and send copy of RSL) |
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GAU 1653

Examiner Anish Gupta

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